

# Unsupervised Classification

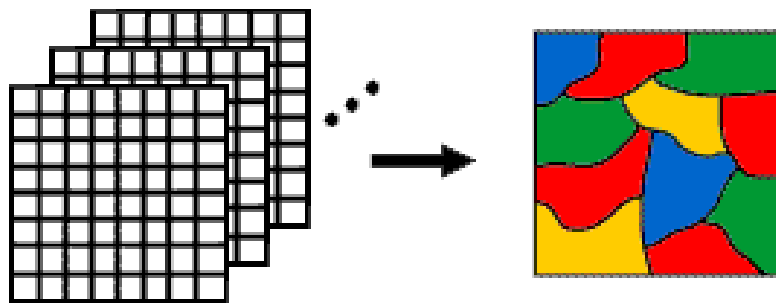
Classification = simplification, mapping

The early promise of satellite imagery: (1970s-80s)

A. Rapid map updating

B. Automated mapping of 'Land Cover'

- avoid manual digitizing ... by classifying multispectral band data



A

B

© CCRS / CCT

We don't need a million different pixels  
They can be grouped into 'n' classes

# Manual digitizing (yawn ...)

*e.g. BC VRI (vegetation resource inventory)*

*BC TRIM data layers  
7027 x 1:20,000 tiles*

*Digitising required  
for single band (Pan)  
imagery e.g b/w  
photography*



# NTS 1:50,000 example

*All federal NTS map Sheets (13,370) were created from Air photos*



Human interpretation / classification relies on attributes such as:  
Shape, pattern, texture, shadows, size, association, tone, colour

Algorithms mostly use Digital Number (DN) = digital version of tone / colour



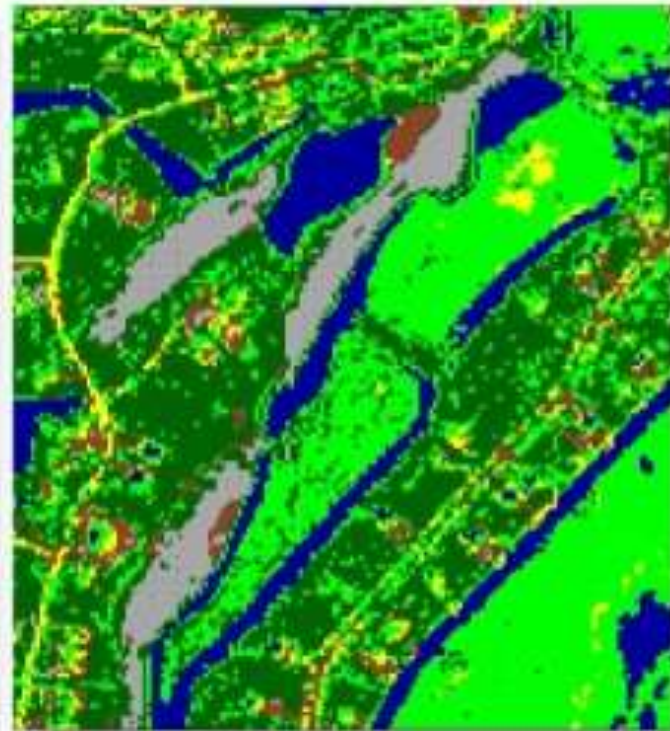
# Remote Sensing Classification

- Automated grouping of similar pixels using multispectral DNs
- Software was developed following 1972 (Landsat 1)
- Digital alternative to manual mapping of Land Cover

**Information Classes Derived from an ISODATA Unsupervised Classification  
Using 10 Iterations and 10 Mean Vectors of an Area Near North Inlet, SC**



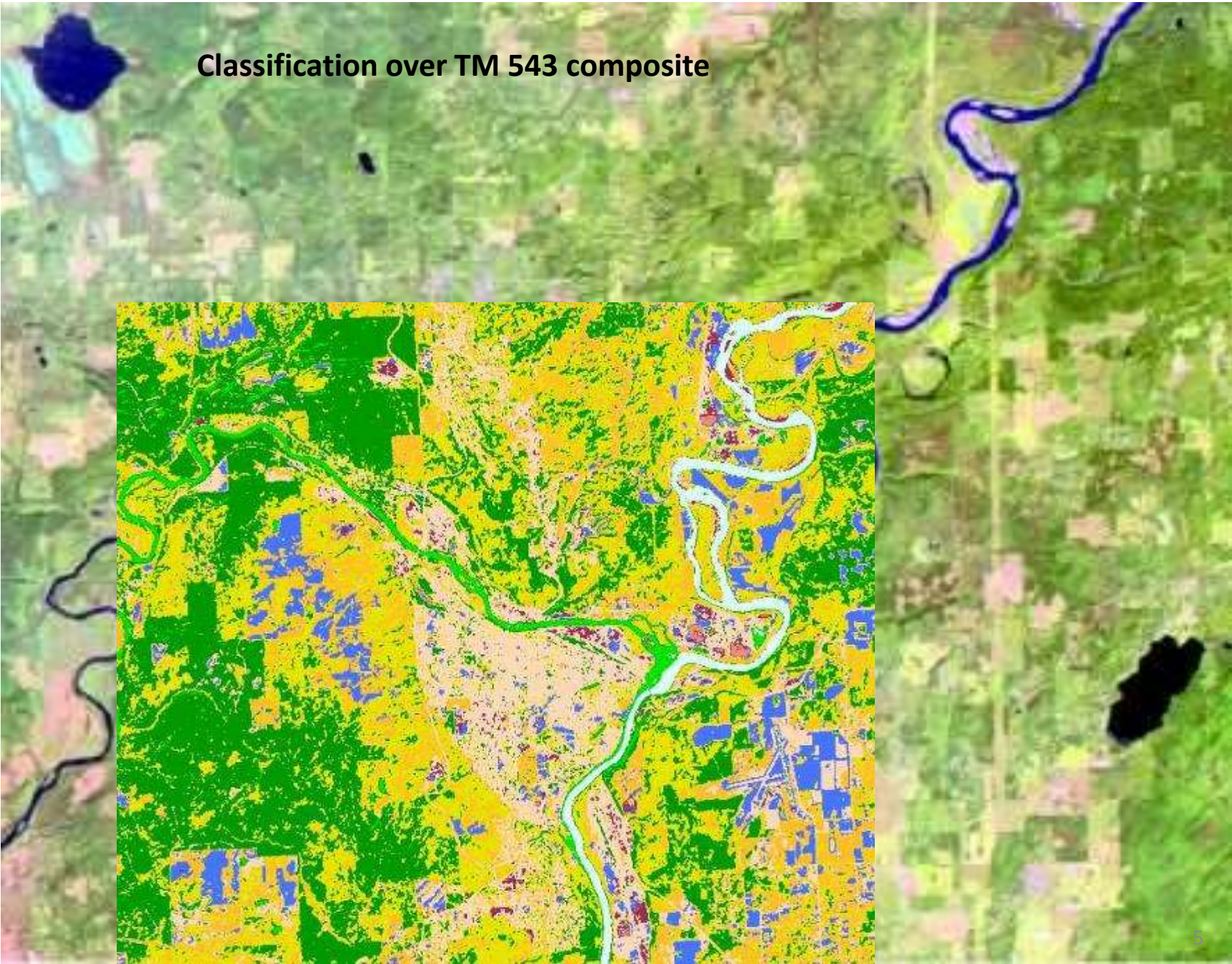
a. Color composite of HyMap data.



c. Classification map derived from



**Classification over TM 543 composite**





# Land Use v Land Cover (LULC) e.g. parks

Sugarbowl-Grizzly Den



Bowron Lakes

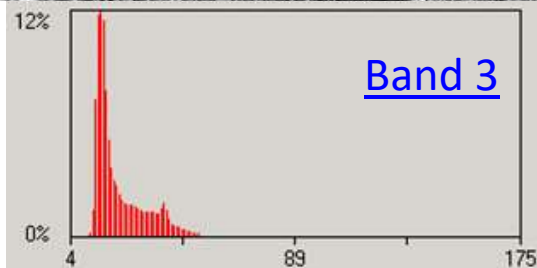
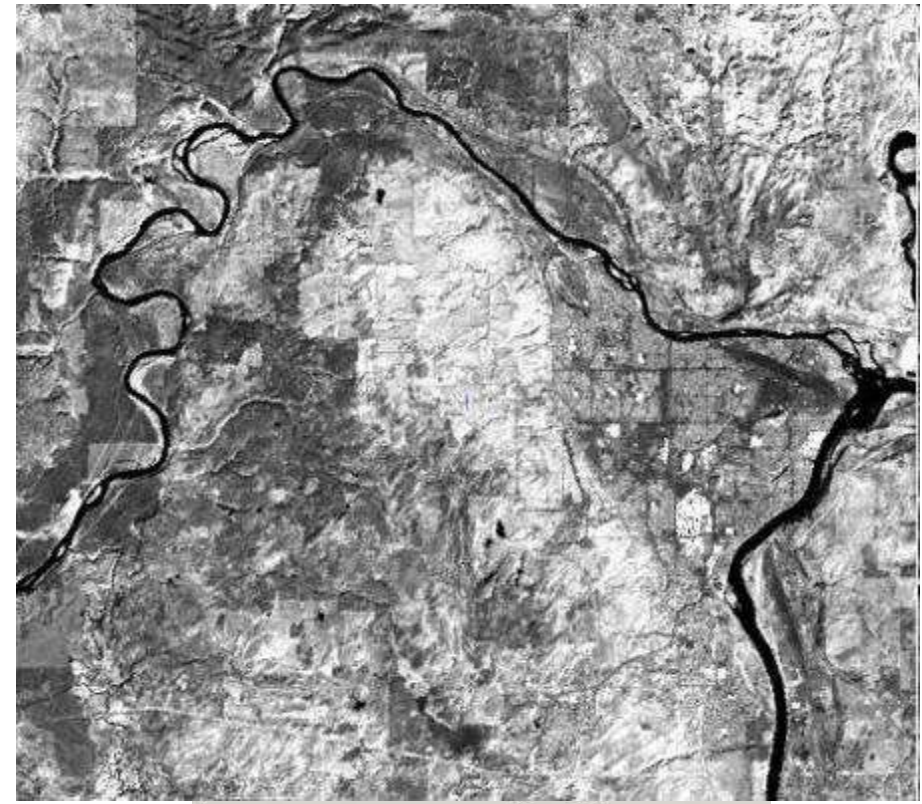


Mt. Egmont / Taranaki, NZ

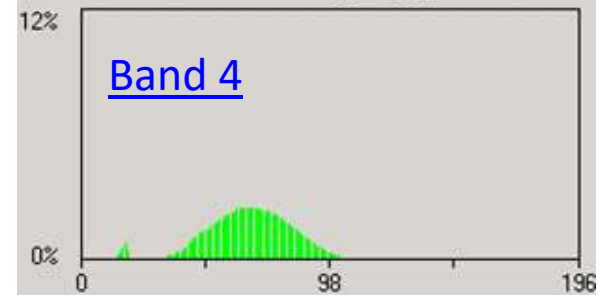


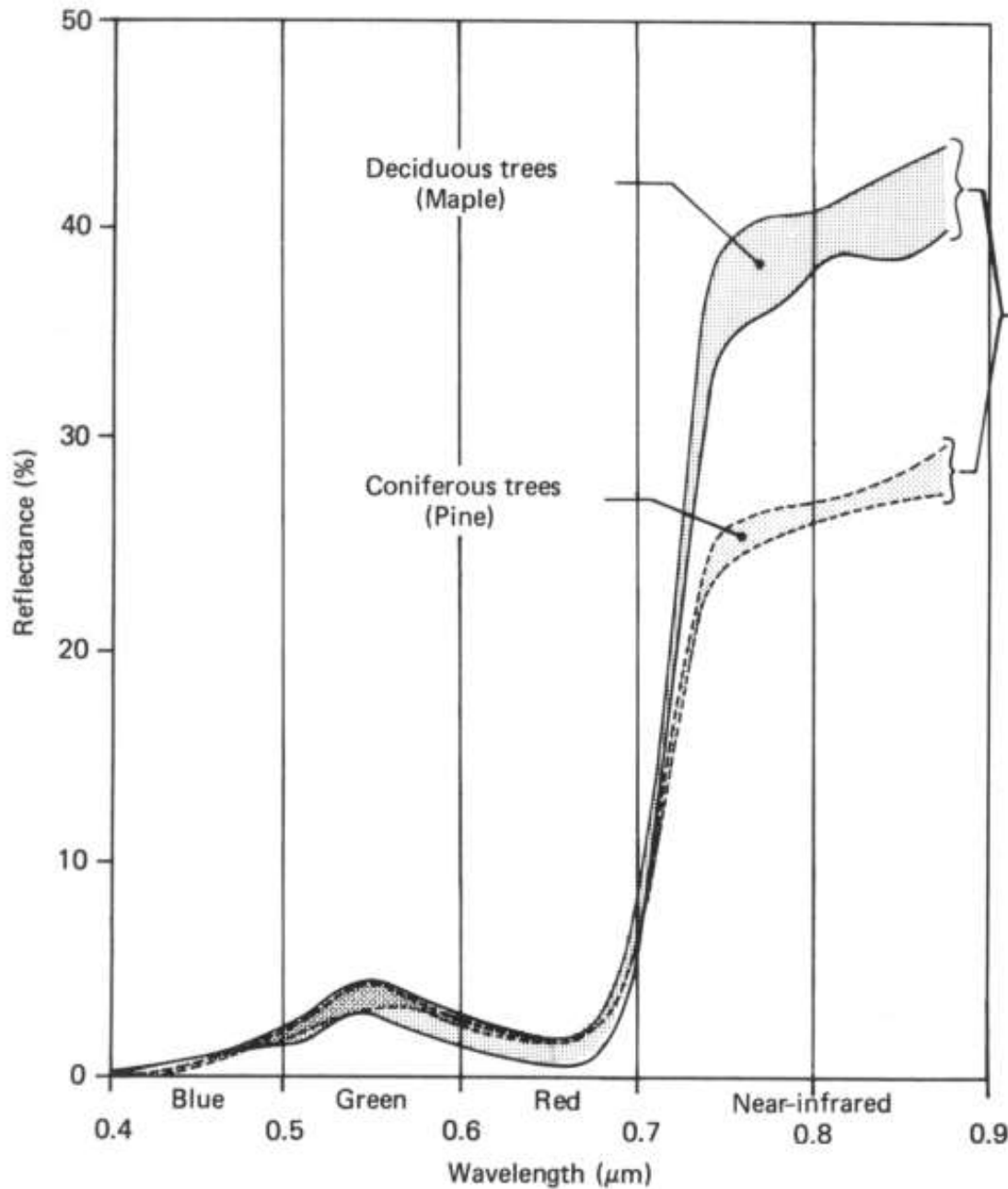
# Can we use just one band to classify ?

One image band could be treated as a monochrome air photo (as in interpretation)  
Digital Numbers from one band alone are rarely enough - features are not unique



Landsat TM  
Water is almost unique  
in IR bands (low DN)





Are the vegetation types different enough in IR ?

(Note range of spectral values)

The visible bands are not too different from each other



## Landsat 8 Operational Land Imager (OLI) and Thermal Infrared Sensor (TIRS)

### Reference

Barsi, J.A.; Lee, K.; Kvaran, G.; Markham, B.L.; Pedelty, J.A. The Spectral Response of the Landsat-8 Operational Land Imager. *Remote Sens.* **2014**, *6*, 10232-10251  
doi:10.3390/rs61010232

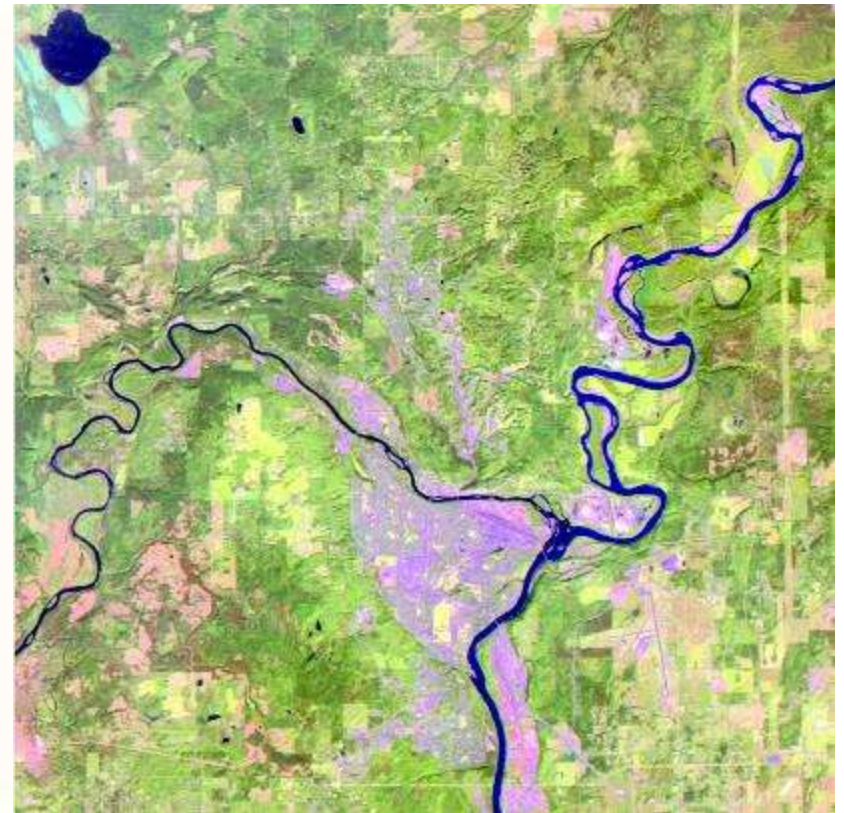
Band	Wavelength	Useful for mapping
Band 1 – Coastal Aerosol	0.435 - 0.451	Coastal and aerosol studies
Band 2 – Blue	0.452 - 0.512	Bathymetric mapping, distinguishing soil from vegetation, and deciduous from coniferous vegetation
Band 3 - Green	0.533 - 0.590	Emphasizes peak vegetation, which is useful for assessing plant vigor
Band 4 - Red	0.636 - 0.673	Discriminates vegetation slopes
Band 5 - Near Infrared (NIR)	0.851 - 0.879	Emphasizes biomass content and shorelines
Band 6 - Short-wave Infrared (SWIR) 1	1.566 - 1.651	Discriminates moisture content of soil and vegetation; penetrates thin clouds
Band 7 - Short-wave Infrared (SWIR) 2	2.107 - 2.294	Improved moisture content of soil and vegetation and thin cloud penetration
Band 8 - Panchromatic	0.503 - 0.676	15 meter resolution, sharper image definition
Band 9 – Cirrus	1.363 - 1.384	Improved detection of cirrus cloud contamination
Band 10 – TIRS 1	10.60 – 11.19	100 meter resolution, thermal mapping and estimated soil moisture
Band 11 – TIRS 2	11.50 - 12.51	100 meter resolution, Improved thermal mapping and estimated soil moisture

## Landsat 4-5 Thematic Mapper (TM) and Landsat 7 Enhanced Thematic Mapper Plus (ETM+)

Band	Wavelength	Useful for mapping
Band 1 - Blue	0.45 - 0.52	Bathymetric mapping, distinguishing soil from vegetation, and deciduous from coniferous vegetation
Band 2 - Green	0.52 - 0.60	Emphasizes peak vegetation, which is useful for assessing plant vigor
Band 3 - Red	0.63 - 0.69	Discriminates vegetation slopes
Band 4 - Near Infrared	0.77 - 0.90	Emphasizes biomass content and shorelines
Band 5 - Short-wave Infrared	1.55 - 1.75	Discriminates moisture content of soil and vegetation; penetrates thin clouds
Band 6 - Thermal Infrared	10.40 - 12.50	Thermal mapping and estimated soil moisture
Band 7 - Short-wave Infrared	2.09 - 2.35	Hydrothermally altered rocks associated with mineral deposits
Band 8 - Panchromatic (Landsat 7 only)	0.52 - 0.90	15 meter resolution, sharper image definition

## Band / channel selection

e.g. Thematic Mapper TM: 1-7 or  
Operational Land Imager OLI 1-9; (TIRS 10-11)



Landsat TM has 7 bands: You would NOT select 3 visible bands to classify  
The visible bands are similar - so the composite is low in contrast (left)

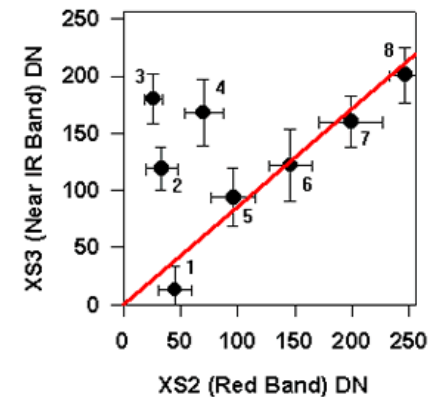
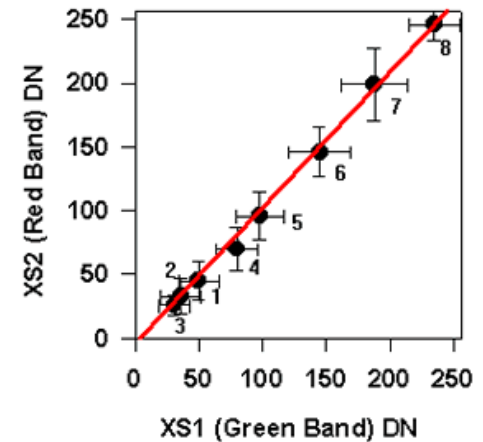


# band correlation coefficients and scatterplots

Example: PG Landsat data

Correlation: (*r* values between bands)

	TM1	2	3	4	5	6
TM1						
TM2	.97					
TM3	.96	.96				
TM4	.07	.16	.11			
TM5	.66	.72	.76	.64		
TM6	.77	.77	.81	.14	.80	
TM7	.83	.86	.90	.40	.93	.86



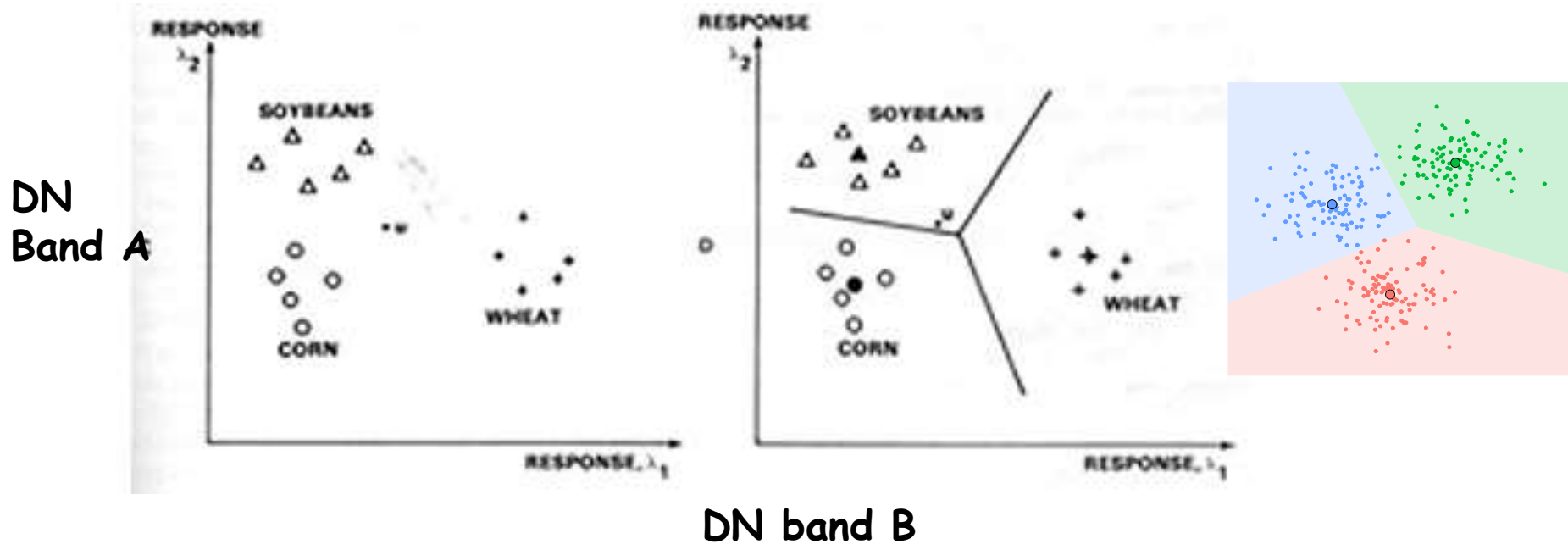
The Visible bands are highly correlated (similar) .. ( $r = .96$  to  $.97$ )

.. so also are bands 5 and 7 ( $r = .93$ )

band 4 (near-IR) is not very correlated with Visible or SWIR (nor thermal)

Note: these values will vary for different environments e.g. urban, desert, forested

# The role of multispectral sensing in classification (fuzzy textbook figure)



The value of multiple bands

DNs in Band A are similar for corn and wheat

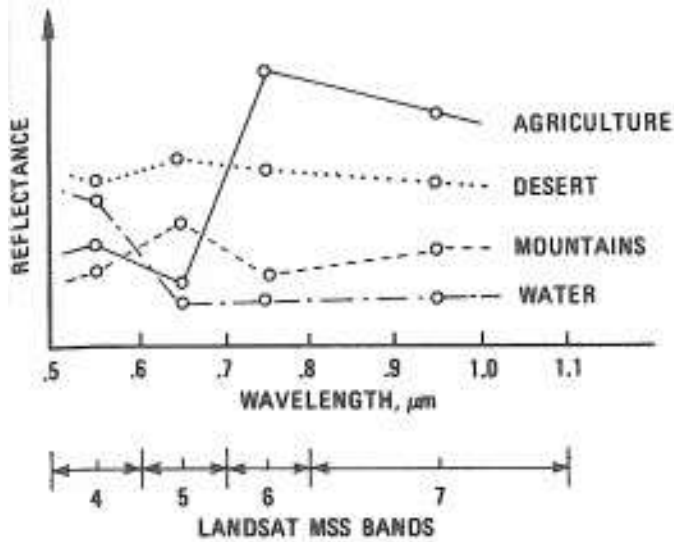
DNs in Band B are similar for corn and soybeans

... but if we use both Bands A and B, then all 3 differ

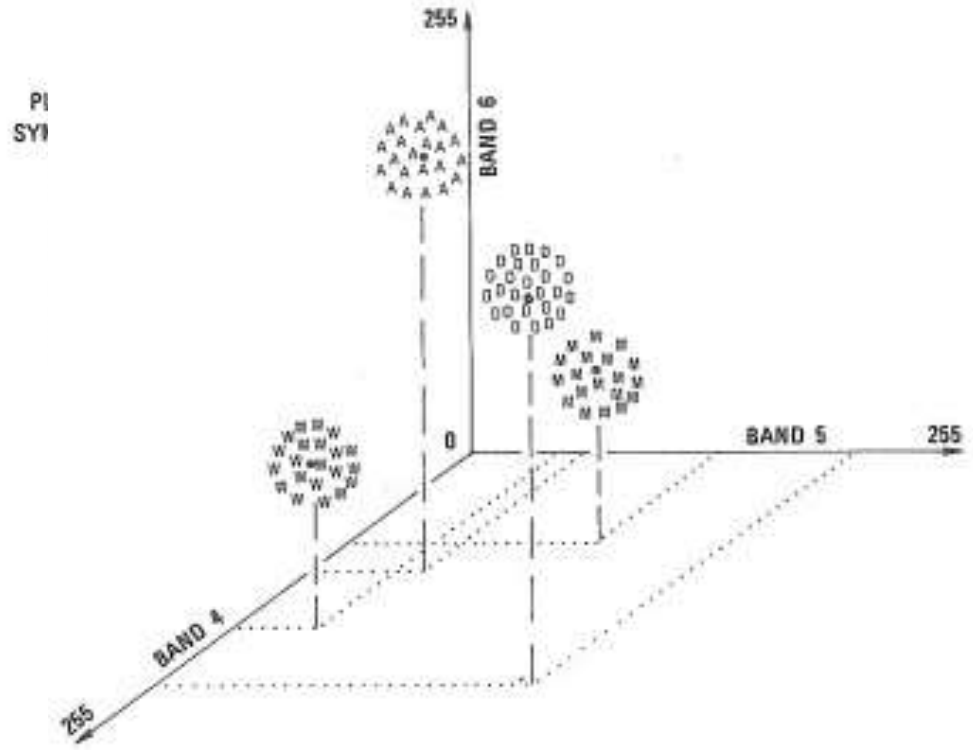


4 land cover types - spectral reflectance

'3D' scatter plots



A. SPECTRAL REFLECTANCE CURVES FOR MAJOR TERRAIN TYPES.

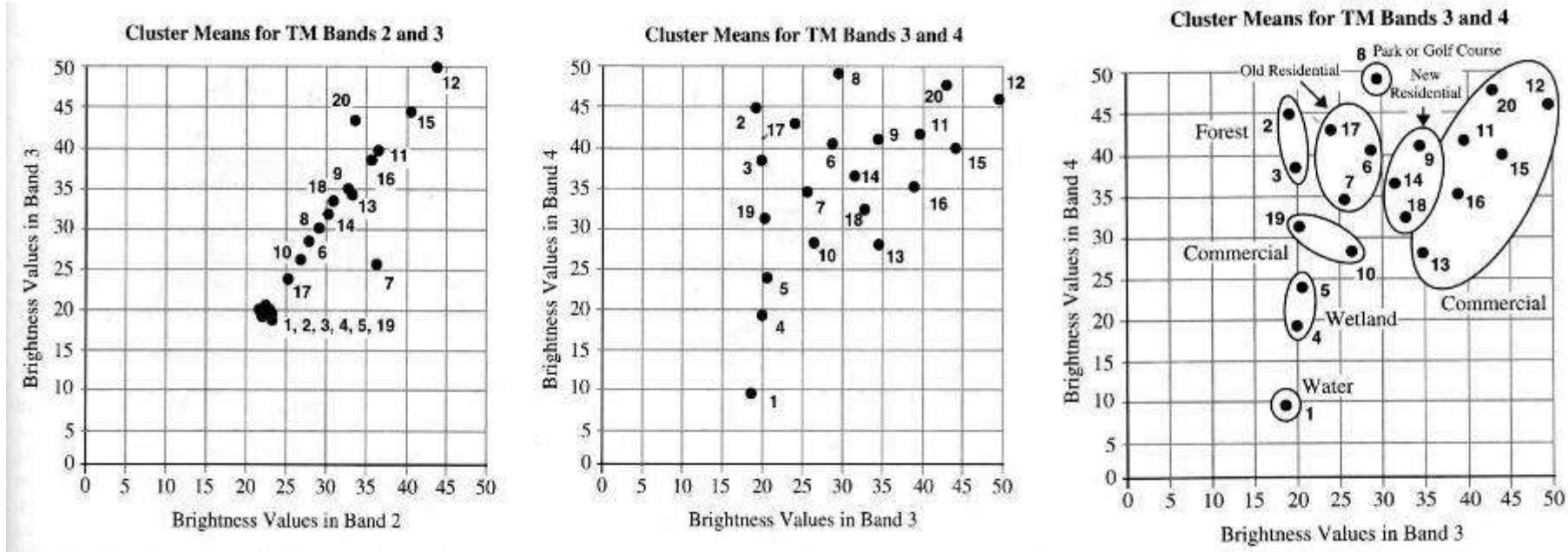


B. THREE-DIMENSIONAL CLUSTER DIAGRAM FOR CLASSIFICATION.

... Algorithms are 'per pixel' classifiers

# Unsupervised classification = 'clustering'

Visible bands only (TM 2,3) versus Visible and Near-IR



Two bands are shown for simplicity

Input bands selected - minimum 3+ bands;

Note: you can only display 3 bands, but you can input **more than 3** (no limit)

... but the classifier can be constrained with too many inputs



# Classification: Band / Channel Selection

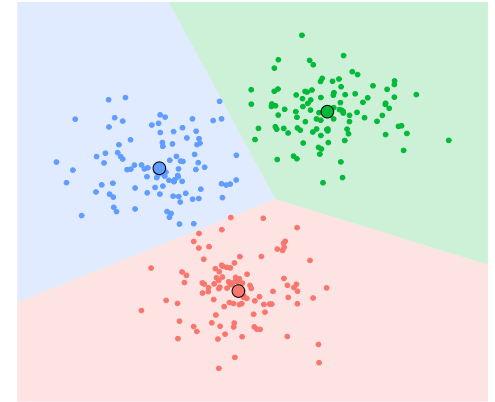
*How to choose which ones to use:*

1. Low correlation e.g. TM 3-4-5 or 2-4-7 (Visible-NIR-MIR)
2. Past experience, visual examination, logical thinking
3. Channels that separate the features we want to identify  
(based on DNs / spectral curves / histograms )
4. Or simply just use them all ? ... (except the thermal band)  
- this can confuse the classifier and not find clusters

# Unsupervised classification

## Background

- user initially needs little 'a priori' knowledge of area
- the software clusters pixels by natural DN groupings based on similarity and contrast ~ 'natural breaks'  
e.g. 1000 x 1000 pixel area = 1 million pixels, many are alike



## Steps

- determine how many classes / clusters
- determine which input bands / channels to use
- run classifier : K-means or Isodata
- Rerun with more clusters if needed
- assign names to classes (merge classes if needed)



Eskers

Knob and kettle

Fields

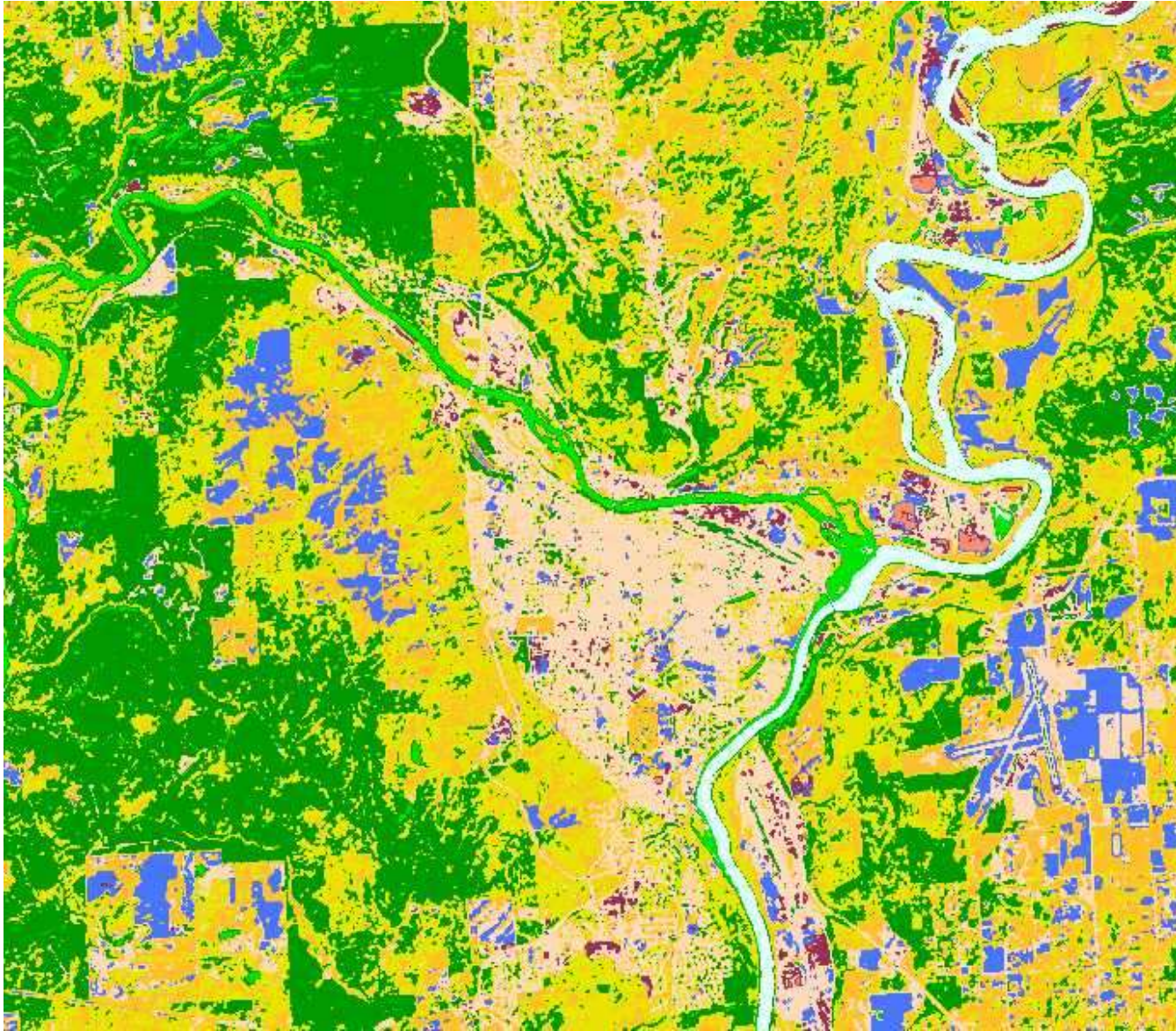
Beetle blocks

Glacial Lake PG

drumlinoids



# Unsupervised result - 10 classes (clusters)



This is a new channel  
in your .pix file  
- it's not a band

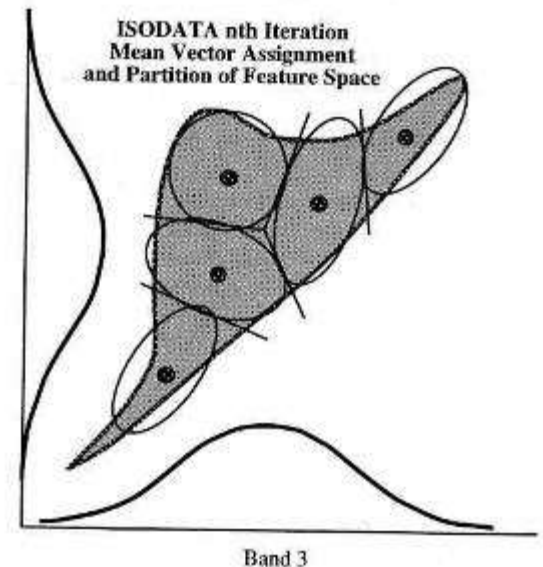
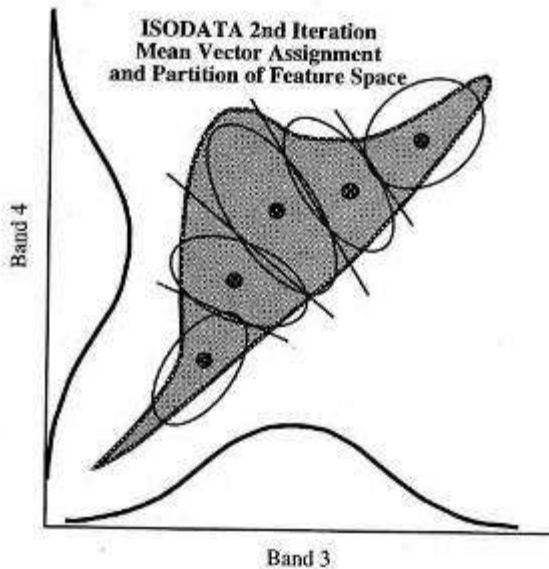
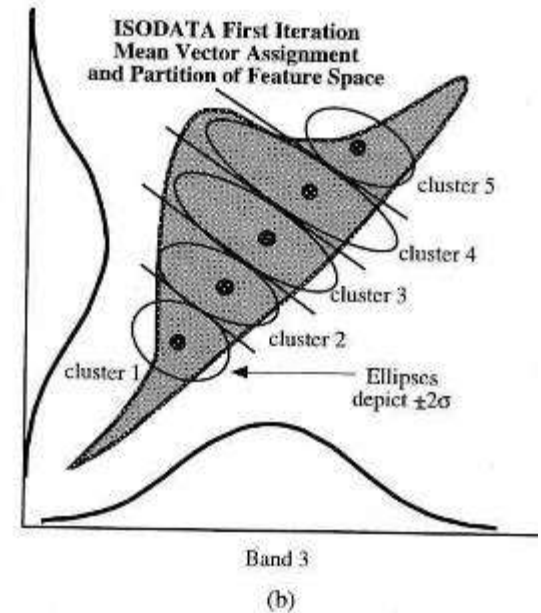
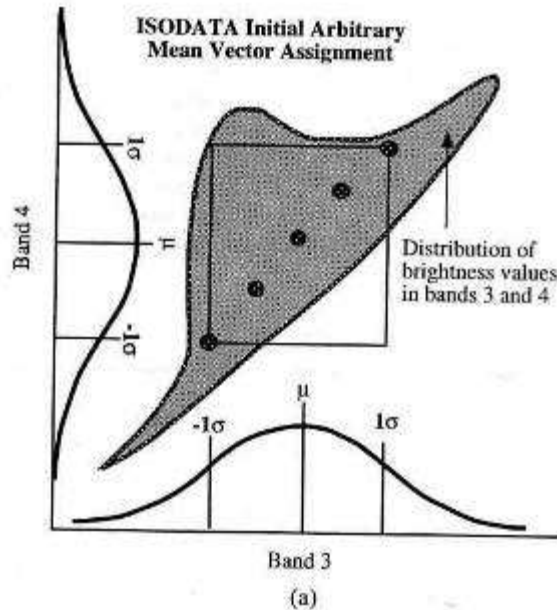
Colours are random



**Note: urban  
classification is  
often NOT easy!**

# Unsupervised - how it works ... YIKES! (do we need to know this?)

- ❑ Algorithm starts with statistical seed points
- ❑ Assigns each pixel to the closest seed
- ❑ Calculates group mean ... in 'n-dimensional' space
- ❑ Re-assigns pixels to the closest group mean
- ❑ Re-calculates group mean
- ❑ Iterates (10 ?) until relatively little change and fixes groupings





# classification report

1 iteration

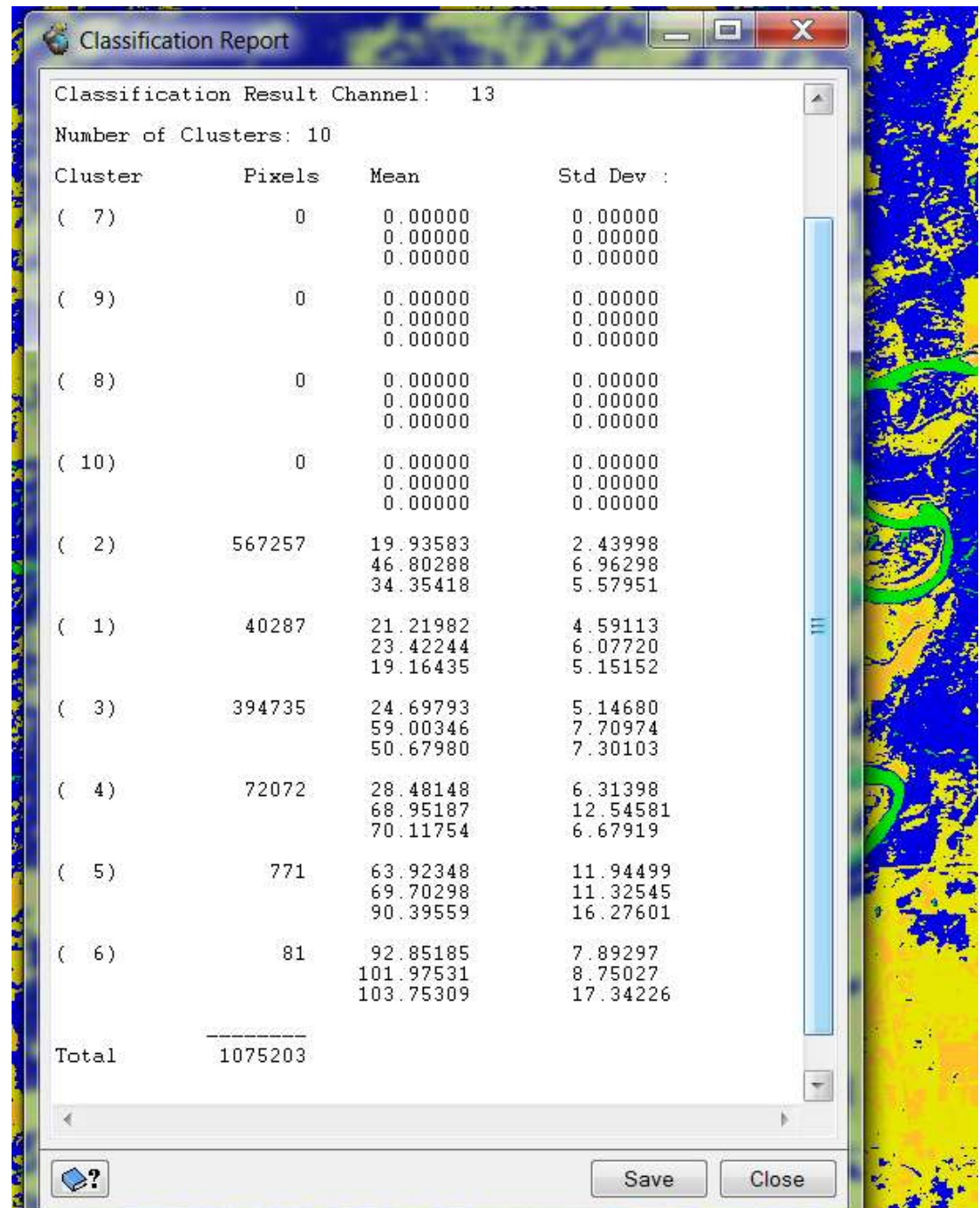
Note:

# clusters with 0 pixels

DN values for bands  
3,4,5 averages ..

Distribution is 1-2  
dimensional

Final step will be  
Assigning names to  
clusters (and  
maybe merge some)





# After 16 iterations and 16 classes/clusters

Classification Report			
Classification Algorithm: Fuzzy K-Means Unsupervised			
Classification Input Channels: 3,4,5			
Classification Result Channel: 9			
Number of Clusters: 16			
Cluster	Pixels	Mean	Std Dev :
( 2)	296774	23.24140 44.77742 32.44915	8.24662 8.91783 10.04080
( 3)	292356	24.48324 67.65602 49.51679	7.14404 10.67916 9.53926
( 4)	155525	24.75149 107.39487 74.22362	5.03961 18.20386 13.35878
( 1)	135750	42.07941 26.82458 16.47926	13.08230 8.12628 11.00162
( 5)	151100	42.87475 60.36603 89.47187	9.25817 13.13133 18.67191
( 7)	86198	84.79987 59.46275 20.03181	12.60066 9.37685 14.03484

( 8)	85354	122.33620 95.34046 19.40815	10.20878 8.68392 16.75611
( 9)	79592	151.05591 105.45887 20.59924	9.22842 8.13428 20.61743
( 10)	60789	175.72850 125.70449 25.36989	8.52307 8.00244 25.79001
( 11)	55539	201.23238 142.52280 17.82207	7.64972 7.16980 16.81002
( 12)	54187	225.29511 159.06710 16.18565	7.08180 6.45671 13.71707
( 13)	56164	247.23974 172.45732 13.11189	6.06449 4.56608 7.50873
( 14)	113965	254.84619 185.52277 12.44097	0.99330 4.41832 4.33174
( 15)	51887	254.93781 202.95095 14.38227	0.76476 5.59141 7.67388
( 16)	33140	254.99879 225.13265 13.28431	0.08035 8.29124 3.35810

Fuzzy classification – each pixel has potential membership in more than one cluster

# Unsupervised classification –algorithms and iterations

(PCI .. Fuzzy K-means is less common in GIS software)

1. **K-means** minimises within cluster range of DN's
2. **Fuzzy K-means** enables mixed membership, based on distribution of the clusters
3. **Isodata** can also merge or split clusters, so the number of clusters is more flexible

The ISODATA algorithm is similar to the k-means algorithm with the difference that **the ISODATA algorithm allows for different number of clusters while the k-means assumes that the number of clusters is known *a priori***. The prime objective of the k-means algorithm is to minimize the within cluster variability.

## Merging and adding classes

Merging - if clusters are not really separate features; Clusters are merged if they overlap spatially or are similar spectrally. (*visually examine image*)



## Splitting / adding

If one cluster covers too much area - run again with more clusters

Can also generate many clusters, and then group merge later ...

One ploy is to make many clusters (e.g. 50-100 and plan to merge)

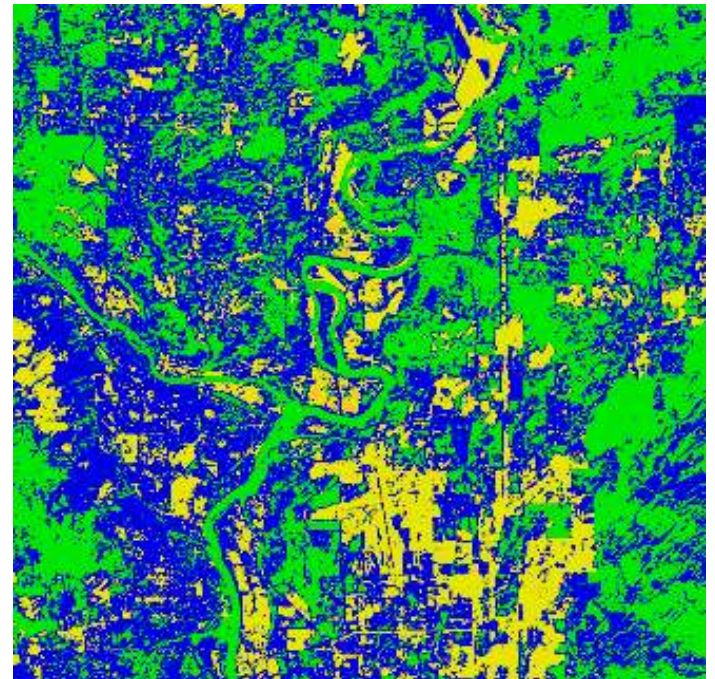
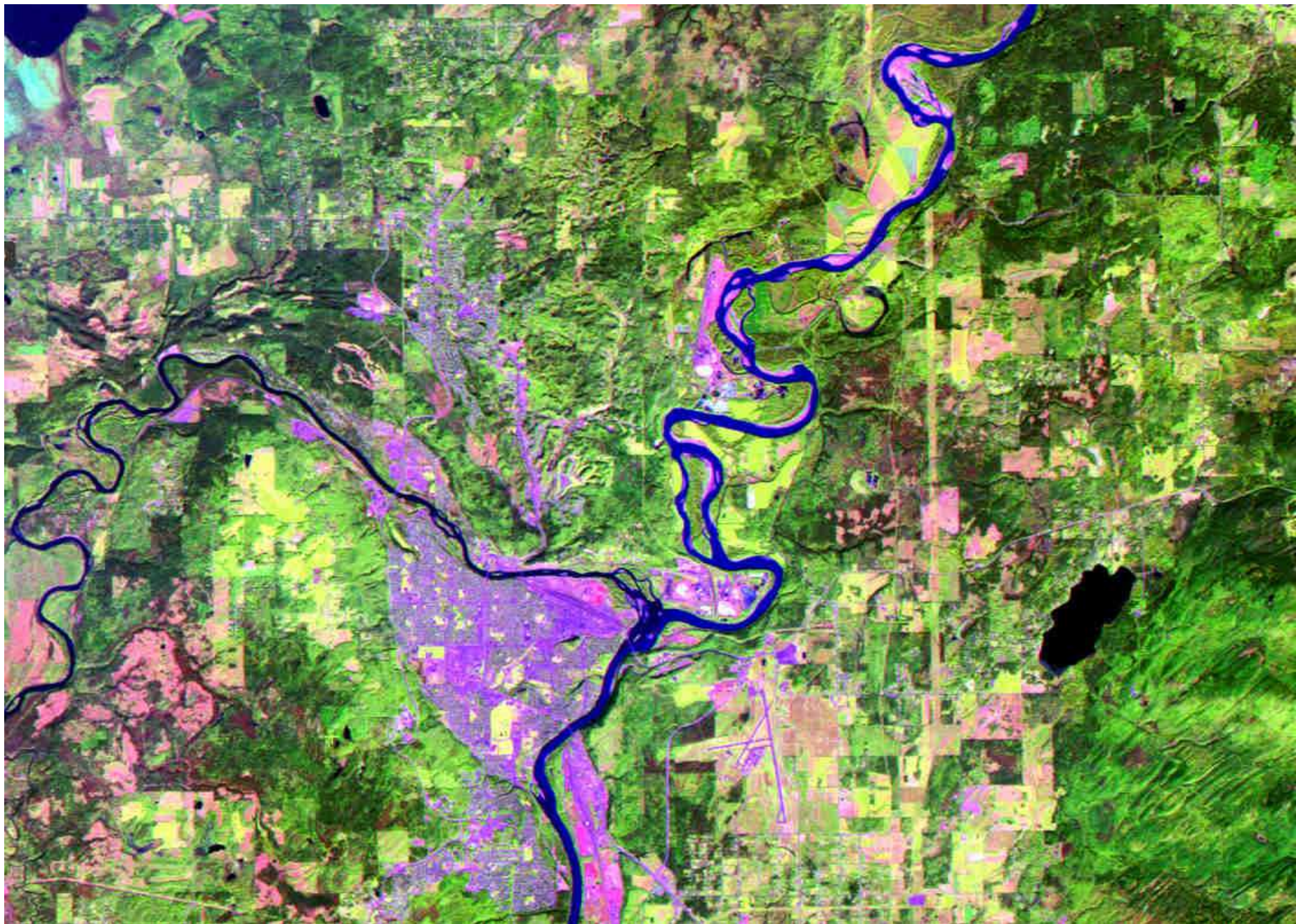


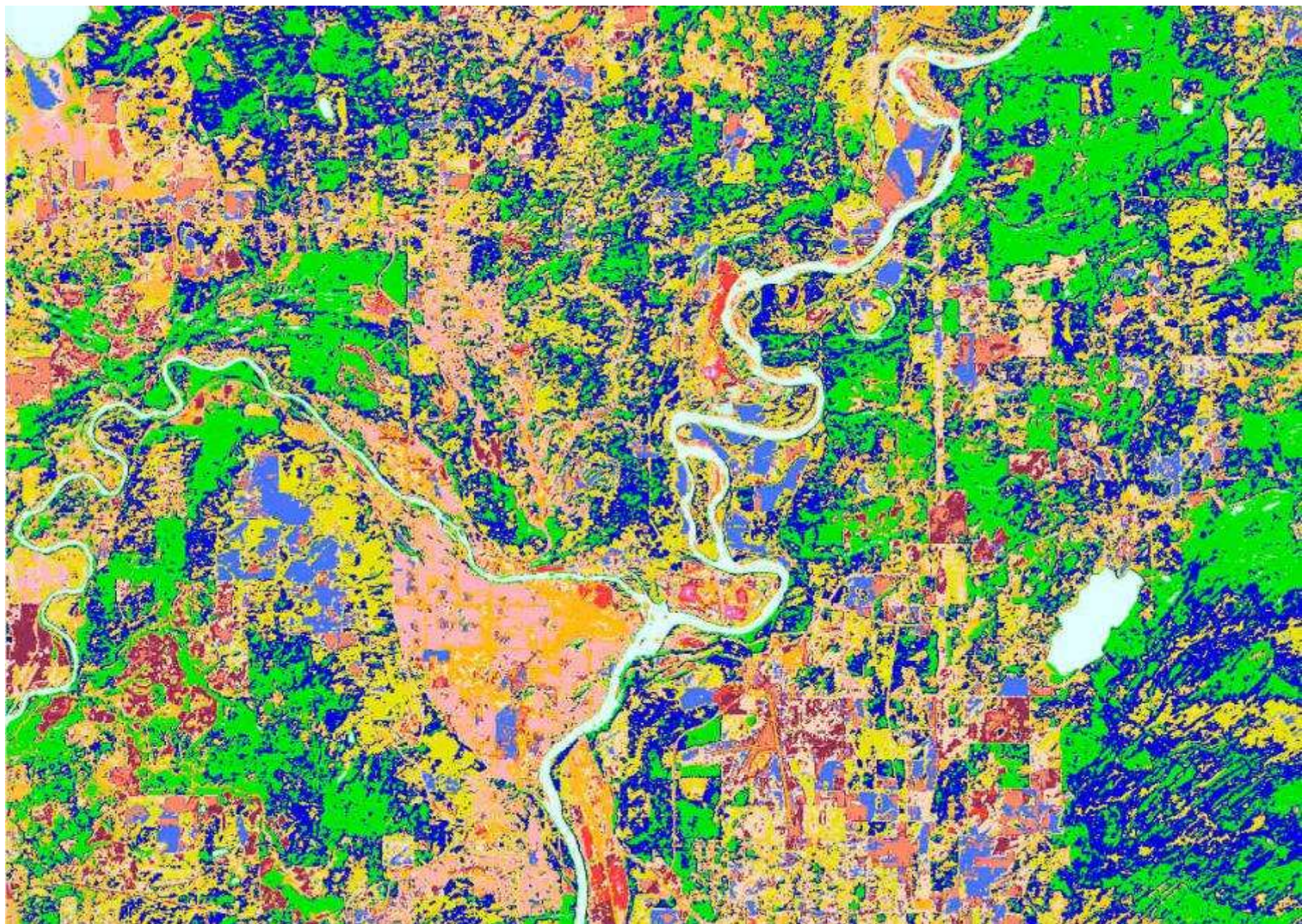


Image- TM 543

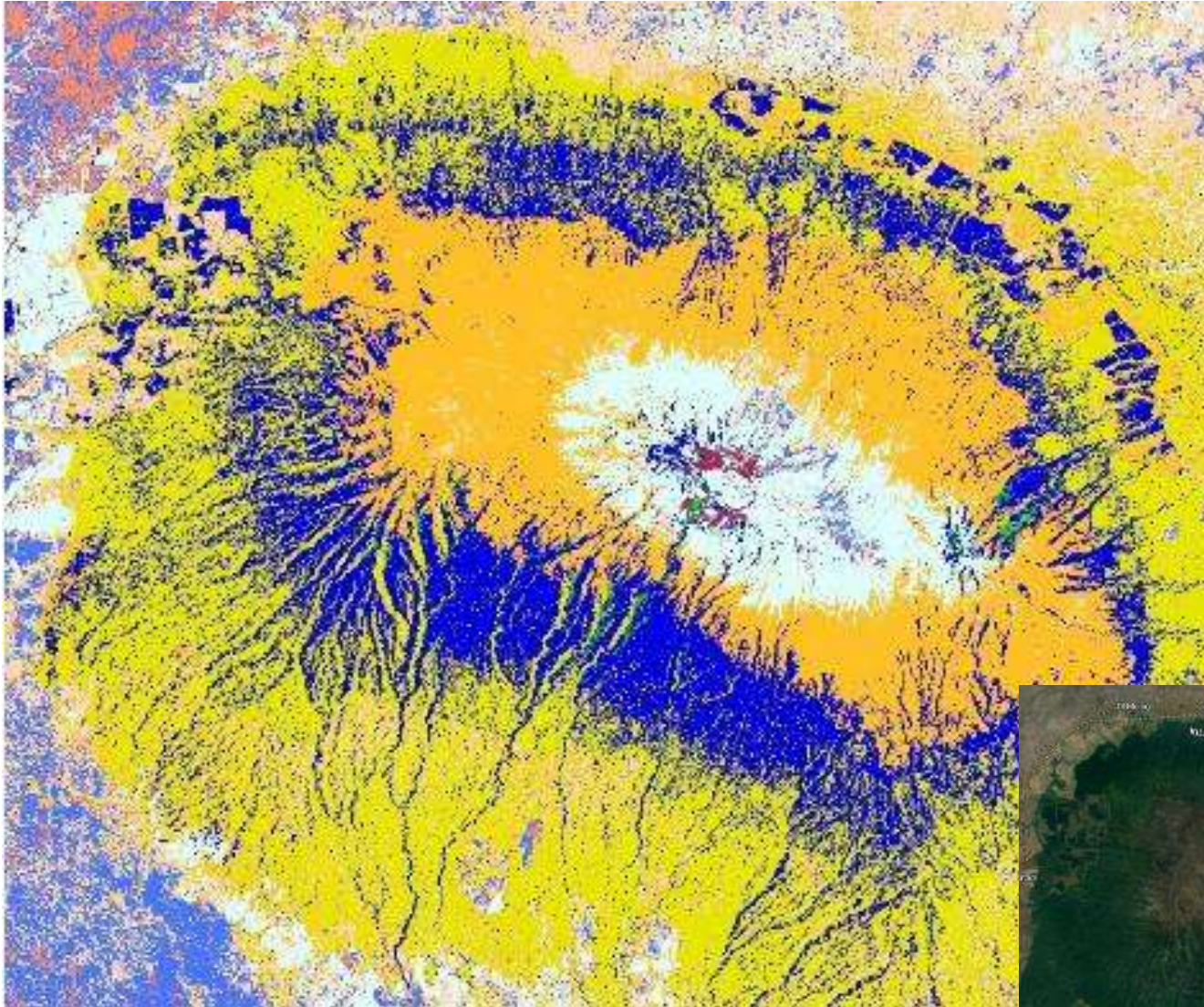




# Isodata







Classification  
**ALWAYS** produces  
a 'salt and pepper'  
effect with  
isolated pixels

Due to :

- fine local DN variations
- '**per-pixel**' classifiers

Mt. Kilimanjaro





# reduced by Filters or Sieve tool

## Modal Filters

1 1 1

1 2 1

1 1 1

replace centre pixel in 3x3  
window with mode value  
(= 1 here)

## SIEVE

Merges isolated pixels  
into adjacent class

Minimum cluster = ?

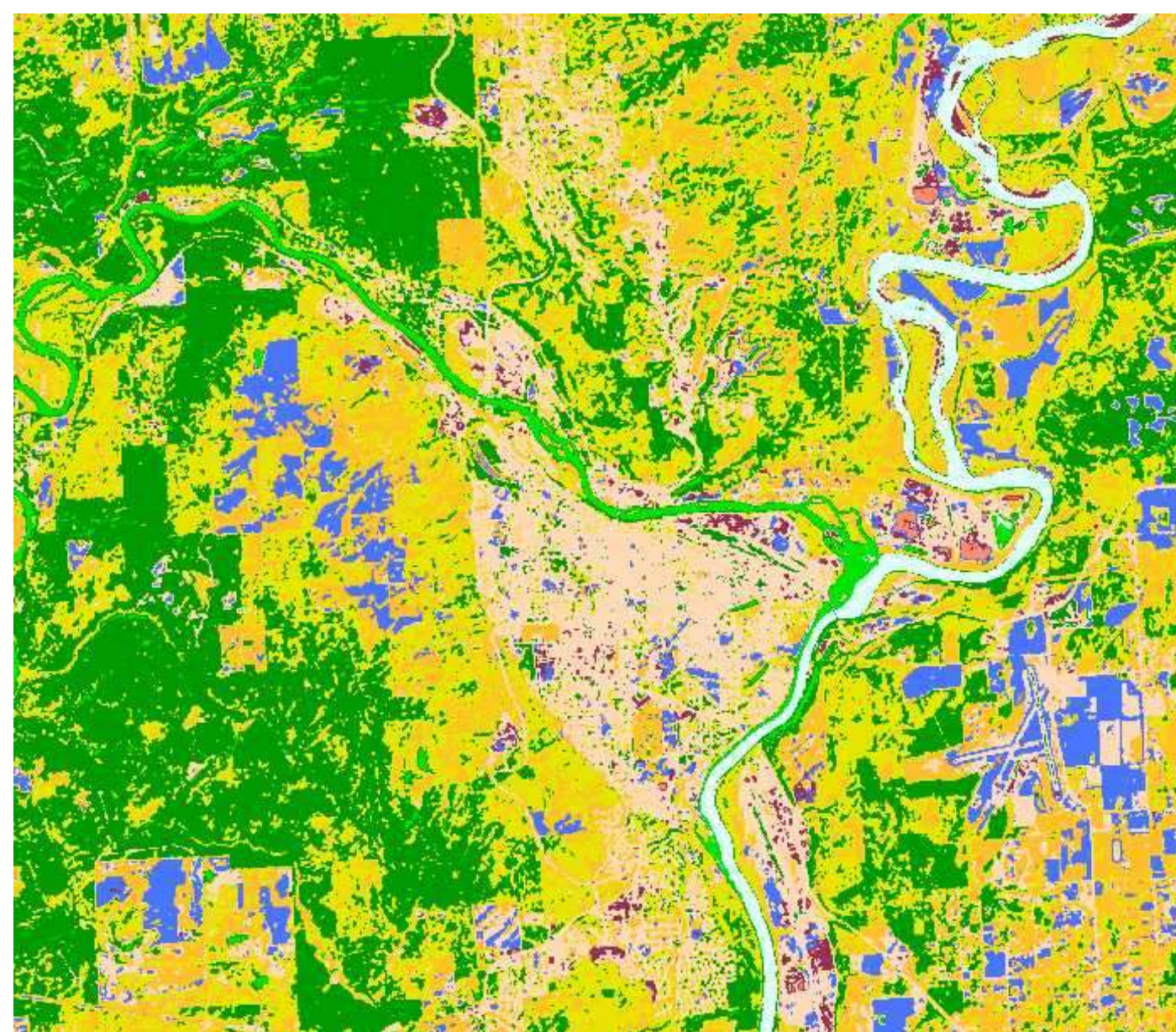
GIS polygon:

1 ha (100x100m) = 10,000

Pixel = 30x30m (900m<sup>2</sup>)

~ 11 pixels

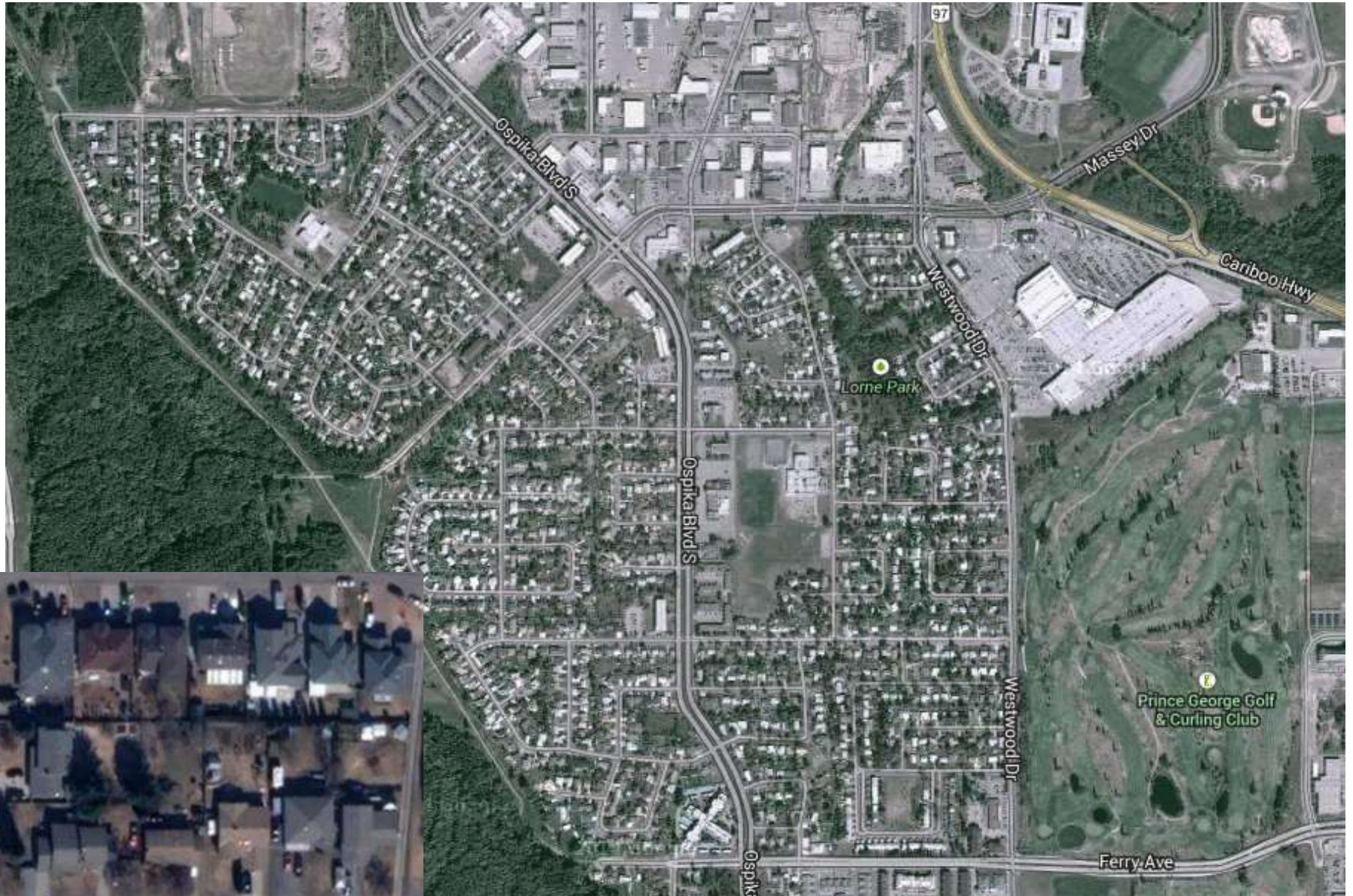
Or use 2 or 5 ha ?





# Challenges in classification - range of DN values

URBAN / HUMAN - mosaic of smaller features inside a 30 metre pixel  
- amount of grass, types of material, roofing colour, weathering, sun angle (building shape)





## Challenges in classification (why it doesn't always beat digitizing)

There are many spatial variations in reflectance (a range of DNs for a feature)  
e.g. stand purity, understory, age/maturity, density, disease, sun angle, topography



Classes/clusters: water, bare rock, glaciers, deciduous, coniferous, cutblocks, regrown



# Overall summary on classification

It is always complex - the classes and contrasts

There are many causes of spatial variations in reflectance

Most (natural) features are continuous, not discrete

Using only DN's:

Any land cover types have a range of values

Conversely, different cover types can appear similar

Further complications for all images:

a. moisture (recent events)

b. edge (mixed) pixels

c. sun angle (illumination) - usually mid-morning

Textbook classification goal: ~ 85% accuracy

But manual digitizing may not do any better